

GAU 1761 #3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
40002-10083

In Re Application Of: James S. Hansa et al.

Serial No.
09/739,857

Filing Date
December 18, 2000

Examiner

Group Art Unit
1761

Title:

OAT AND CORN PRODUCTS CONTAINING ADDED INGREDIENTS AND METHOD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0503 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 16, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
_____ Signature of Person Mailing Correspondence
James D. Ryndak Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: April 16, 2001

Signature
James D. Ryndak (Reg. No. 28,754)
RYNDAK & SURI
30 North LaSalle Street
Suite 2630
Chicago, Illinois 60602
(312) 214-7770

RECEIVED
APR 23 2001
TC 1700 MAIL ROOM

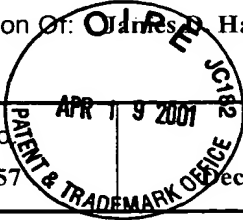
CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
40002-10083

In Re Application Of: **James P. Hansa et al.**

Serial No.
09/739,857



Filing Date
December 18, 2000

Examiner

Group Art Unit
1761

Title:

OAT AND CORN PRODUCTS CONTAINING ADDED INGREDIENTS AND METHOD

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
APR 23 2001
TC 1700 MAIL ROOM



-1-

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 26, 2001.

James D. Ryndak

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

Patent
Serial No. 09/739,857

Attorney Docket
No. 40002-10083

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James D. Hansa et al.

Serial No. 09/739,857

Filed: December 18, 2000

For: **OAT AND CORN PRODUCTS CONTAINING ADDED
INGREDIENTS AND METHOD**

RECEIVED
APR 23 2001
TC 1700 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231


Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application upon which this application relies for an earlier filing date under 35 U.S.C. 120: Regarding the documents listed on the attached PTO-1449, Applicant believes the same may qualify as "prior art to this application and should be treated accordingly, although

Applicant reserves the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed before the mailing date of the first Office Action. No fee is required.

Respectfully submitted,

By: 
James D. Ryndak
Reg. No. 28,754
Attorney for Applicant

Dated: April 16, 2001

RYNDAK & SURI
30 N. LaSalle Street, Suite 2630
Chicago, IL 60602
(312) 214-7770